

Tuesday, November 7, 2023

As part of the ARS Enhancement Initiative, the AESO has communicated changes to the Compliance Monitoring Program (CMP). These changes aim to enhance support for its monitoring mandate, as defined in Section 23(1)(b) of the Transmission Regulation. Furthermore, the AESO seeks to provide greater flexibility to the industry by extending the time allowed for audit preparation.

The AESO's communications, including a letter dated September 13, 2022 ([link](#)) and stakeholder presentation from November 21, 2022 ([link](#)), have conveyed that the audit evidence submission deadline will be extended to 90 days commencing with the scheduled audits in Q1, 2023.

The AESO has received feedback from industry stakeholders indicating that some have interpreted these statements as suggesting the deadline for evidence submission is 90 days after receiving an audit notification from the AESO. This interpretation does not align with AESO's intent, which is that the deadline for evidence submission is 90 days after the end of the audit period. This understanding was also reflected in the guidelines outlined in Section 3.3.1 of the Self-Certification Guide ([link](#)) and Section 3.3 of the Alberta Reliability Standards Market Participant Audit Guide ([link](#)).

In response to industry feedback and after internal review, the AESO has decided to accommodate the industry interpretation, effective starting Q1 2024 ARS audit.

Going forward, the audit evidence submission deadline will be set at 90 days after the date an audit notification is received from the AESO or from the audit period end date, whichever occurs later. Furthermore, the AESO reaffirmed its commitment to providing market participants with their audit scope, as detailed in the audit notification, prior to the conclusion of their audit period.

Yours truly,

Daniela Cismaru, P.Eng.  
Manager, Compliance Reliability Standards Monitoring